

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

2314-263

First Inventor

Craig WALKER et al.

Title TAU-CONOTOXIN PEPTIDES

21707 U.S.P.T.O.  
619473  
07/16/03

Applicant for Published Patent Application: The University of Utah Research Foundation  
Salt Lake City, Utah  
&  
Cognetix, Inc.  
Salt Lake City, Utah

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2.  Applicant claims small entity status.
3.  Specification Total pages [53]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross references to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4.  Drawing(s) (35 USC 113) (Total Sheets) [ ]
5.  Oath or Declaration (Total Pages) [16]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application  
(37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)

**[Note Box 5 below]**

i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,
6.  Application Data Sheet.

ADDRESS TO: Assistant Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper (21 pages)
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  
*(when there is an assignee)*
  - Power of Attorney
11.  English Translation Document *(if applicable)*
12.  Information Disclosure Statement /PTO 1449
  - Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s).
16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
17.  Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76::

Continuation  Divisional  Continuation-i4n-part (CIP) of prior application No.: 09/497,491

Prior application information: Examiner Samuel W. Liu Group/Art Unit 1653

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Customer Number or Bar Code Label **6449**

|           |                                                                                     |           |              |                    |              |
|-----------|-------------------------------------------------------------------------------------|-----------|--------------|--------------------|--------------|
| Address   | Rothwell, Figg, Ernst & Manbeck<br>Suite 800, 1425 K Street, N.W.                   |           |              |                    |              |
| City      | Washington                                                                          | State     | D.C.         | Zip Code           | 20005        |
| Country   | U.S.A.                                                                              | Telephone | 202-783-6040 | Fax                | 202-783-6031 |
| Name      | Patrick T. Skacel, Reg. No. 47,948                                                  |           |              |                    |              |
| Signature |  |           |              | Date July 16, 2003 |              |

07/16/03



# FEE TRANSMITTAL for FY 2003 (Small Entity)

Complete if Known

|                         |             |                        |                     |
|-------------------------|-------------|------------------------|---------------------|
|                         |             | Application Number     |                     |
|                         |             | Filing Date            |                     |
|                         |             | First Named Inventor   | Craig WALKER et al. |
|                         |             | Examiner Name          |                     |
|                         |             | Group Art Unit         |                     |
| Total Amount of Payment | (\$ 516.00) | Attorney Docket Number | 2314-263            |

**METHOD OF PAYMENT** (check one)

- The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- Applicant claims small entity status.
- Payment Enclosed:
  - Check
  - Credit Card

**FEE CALCULATION****1. FILING FEE**

| Fee Code        | Fee \$ | Fee Description        | Fee Paid |
|-----------------|--------|------------------------|----------|
| 2001            | 375    | Utility filing fee     | [ 375 ]  |
| 2002            | 165    | Design Filing Fee      | [ ]      |
| 2003            | 260    | Plant Filing Fee       | [ ]      |
| 2004            | 375    | Reissue Filing Fee     | [ ]      |
| 2005            | 80     | Provisional Filing Fee | [ ]      |
| <b>SUBTOTAL</b> |        | \$375                  |          |

**2. CLAIMS**

| Total Claims              | Independent           | Extra Claims | Fee \$ | Fee Paid |
|---------------------------|-----------------------|--------------|--------|----------|
| [ 31 ]                    | - 20** = [ 11 ] x     |              | \$ 9 = | [ 99 ]   |
| Claims                    | [ 4 ] - 3** = [ 1 ] x |              | 42 =   | [ 42 ]   |
| Multiple Dependent Claims |                       | + 140 =      |        | [ ]      |

\*\*or number previously paid, if greater;

**SUBTOTAL** \$141**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Fee Code            | Fee Paid | Fee Description                                                            | Fee Paid |
|---------------------|----------|----------------------------------------------------------------------------|----------|
| 2051                | 65       | Surcharge - late filing fee or oath                                        | [ ]      |
| 2052                | 25       | Surcharge - late provisional filing fee or cover sheet                     | [ ]      |
| 1053                | 130      | Non-English specification                                                  | [ ]      |
| 1812                | 2,520    | For filing a request for reexamination                                     | [ ]      |
| 1804                | 920      | Requesting publication of SIR prior to Examiner action                     | [ ]      |
| 1805                | 1,840*   | Requesting publication of SIR after Examiner action                        | [ ]      |
| 2251                | 55       | Extension for reply within first month                                     | [ ]      |
| 2252                | 205      | Extension for reply within second month                                    | [ ]      |
| 2253                | 465      | Extension for reply within third month                                     | [ ]      |
| 2254                | 725      | Extension for reply within fourth month                                    | [ ]      |
| 2255                | 985      | Extension for reply within fifth month                                     | [ ]      |
| 2401                | 160      | Notice of Appeal                                                           | [ ]      |
| 2402                | 160      | Filing a brief in support of an appeal                                     | [ ]      |
| 2403                | 150      | Request for Oral Hearing                                                   | [ ]      |
| 1451                | 1,510    | Petition to institute a public use proceeding                              | [ ]      |
| 2452                | 55       | Petition to revive -unavoidable                                            | [ ]      |
| 2453                | 650      | Petition to revive - unintentional                                         | [ ]      |
| 2501                | 650      | Utility issue fee (or reissue)                                             | [ ]      |
| 2502                | 235      | Design issue fee                                                           | [ ]      |
| 2503                | 315      | Plant issue fee                                                            | [ ]      |
| 1460                | 130      | Petitions to the Commissioner                                              | [ ]      |
| 1807                | 50       | Processing fee under 37 CFR 1.17(q)                                        | [ ]      |
| 1806                | 180      | Submission of Information Disclosure Statement                             | [ ]      |
| 8021                | 40       | Recording each patent assignment per property (times number of properties) | [ ]      |
| 2809                | 375      | Filing a submission after final rejection (37 CFR 1.129(a))                | [ ]      |
| 2810                | 375      | For each additional invention to be examined (37 CFR 1.129(b))             | [ ]      |
| 2801                | 375      | Request for Continued Examination (RCE)                                    | [ ]      |
| 1802                | 900      | Request for expedited examination of a design application                  | [ ]      |
| 1504                | 300      | Publication fee for early, voluntary, or normal publication                | [ ]      |
| 1505                | 300      | Publication fee for republication                                          | [ ]      |
| 1455                | 200      | Filing an application for patent term adjustment                           | [ ]      |
| 1456                | 400      | Request for reinstatement of term reduced                                  | [ ]      |
| Other fee (specify) |          |                                                                            | [ ]      |

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL**

\$

|                      |                                    |                          |               |                         |
|----------------------|------------------------------------|--------------------------|---------------|-------------------------|
| SUBMITTED BY         |                                    | Complete (if applicable) |               |                         |
| NAME AND REG. NUMBER | Patrick T. Skacel, Reg. No. 47,948 |                          |               |                         |
| SIGNATURE            |                                    | DATE                     | July 16, 2003 | DEPOSIT ACCOUNT USER ID |